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March 18, 2005

Glenn P. Ladwig, Patent Attorney

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Examining Group 1632

Patent Application

Docket No. USF-212XZ1T

Serial No. 10/709,801

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Examiner

(Not yet assigned)

Art Unit

1632

A 1'

1032

Applicants

Caroline Desponts, Joseph Wahle, John M. Ninos, William G. Kerr

Serial No.

10/709,801

Filed

May 28, 2004

For

Inhibition of SHIP to Enhance Stem Cell Harvest and Transplantation

MS AMENDMENT

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §§1.97 AND 1.98

Sir:

In accordance with 37 CFR §1.56, the references listed on the attached form PTO/SB/08 are being brought to the attention of the examiner for consideration in connection with the examination of the above-identified patent application. A copy of each cited reference is enclosed. However, the applicants have not submitted copies of the U.S. patents or published U.S. applications cited on attached Form PTO/SB/08 pursuant to 37 CFR 1.98(a)(2)(ii).

The applicants respectfully assert that the substantive provisions of 37 CFR §§1.97 and 1.98 are met by the foregoing statement.

Respectfully submitted,

Glenn P. Ladwig

Patent Attorney

Registration No. 46,853

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GPL/mv

Attachments: Form PTO/SB/08 (6 pages); copies of some references cited therein.



PTO/SB/08A (08-03) Approved for use through 07/31/2006. OMB 0651-0031
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Substitute for forr	m 1449A/PTO		-	Complete if Known		
				Application Number	10/709,801	
••	TION DISCL			Filing Date	May 28, 2004	
SIAIEME	ENT BY APPI	_10/	ANI	First Named Inventor	Caroline Desponts	
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				Examiner Name		
Sheet	1	of	6	Attorney Docket Number	USF-212XZ1T	

			U.S. PATENT DO	CUMENTS	
Examiner Initials*	Cite No. 1	Document Number Number - Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	U1	US-10/605,452	09-30-2003	Kerr et al.	All
	U2	US-10/904,667	11-22-2004	Kerr et al.	All
	U3	US-2002/0137711 A1	09-26-2002	Kerr	All
	U4	US-2002/0165192 A1	11-07-2002	Kerr et al.	All
	U5	US-4,603,112	07-29-1986	Paoletti et al.	All
	U6	US-4,769,330	09-06-1988	Paoletti et al.	All
	U7	US-4,777,127	10-11-1988	Suni et al.	All
	U8	US-5,017,487	05-21-1991	Stunnenberg et al.	All
	U9	US-5,166,057	11-24-1992	Palese et al.	All

	_	FOREIGN	PATENT DOCU	JMENTS		
		Foreign Patent Document	Publication Date	Name of Patentee or	Pages, Columns, Lines,	
Examiner Initials*	Cite No. 1	Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)	MM-DD-YYYY	Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear	T ⁶
	F1	WO 89/01973 A2	03-09-1989	Applied Biotech. Inc.	All	
	F2	WO 91/02805 A2	03-07-1991	Viagene, Inc.	All	
	F3	WO 92/06693 A1	04-30-1992	Fox Chase Cancer Ctr.	All	
	F4	WO 97/10252 A1	03-20-1997	Fred Hutchinson Cancer Research	All	
	F5	WO 97/12039 A2	04-03-1997	Krystal	All	ļ
	F6	EP 0 345 242 A2	12-06-1989	Smithkline Biologicals	All	

Examiner Date Considered		
Signature Considered	Examiner	Date
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Translation is attached.

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Substitute for form 1449A/PTO				Complete if Known			
	•		UDE	Application Number	10/709,801		
	ATION DISC ENT BY AP			Filing Date	May 28, 2004		
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				Examiner Name			
Sheet	2	of	6	Attorney Docket Number	USF-212XZ1T		

			U.S. PATENT DO	OCUMENTS	
Examiner Initials*	Cite No. 1	Document Number Number - Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	U10	US-6,090,621	07-18-2000	Kavanaugh et al.	All
	U11	US-			
	U12	US-			
	U13	US-			
	U14	US-			
	U15	US-			
	U16	US-			
	U17	US-			
	U18	US-			

	FOREIGN PATENT DOCUMENTS								
1		Foreign Patent Document	D. M	Name of Batanda	Pages, Columns, Lines,				
Examiner Initials*	Cite No. 1	Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear	T ⁶			
	F7	EP 0 440 219 A1	08-07-1991	Schwiz, Serum- & Impfinstitut Bern	All				
	F8	GB 2 200 651	08-10-1988	Khalaf Al-Sumidale	All				
	F9								
	F10								
	F11								
	F12								

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Signature	Considered	

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Complete if Known Substitute for form 1449B/PTO 10/709,801 **Application Number** INFORMATION DISCLOSURE May 28, 2004 **Filing Date** STATEMENT BY APPLICANT **First Named Inventor** Caroline Desponts 1632 **Group Art Unit** (use as many sheets as necessary) **Examiner Name** Attorney Docket Number USF-212XZ1T of 6 Sheet 3

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article, (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
	R1	AGRAWAL, S. "Antisense oligonucleotides: towards clinical trials" <i>TIBTECH</i> , 1996, 14:376-387.	
	R2	AGRAWAL, S. and KANDIMALLA, E. "Antisense therapeutics: is it as simple as complementary base recognition?" <i>Molecular Med. Today</i> , 2000, 6:72-81.	
	R3	AKAGI, K. et al. "Cre-mediated somatic site-specific recombination in mice" Nucleic Acids Res, 1997, 25(9):1766-1773.	
	R4	BENDER, M.A. <i>et al.</i> "Description and targeted deletion of 5' hypersensitive site 5 and 6 of the mouse β-globin locus control region" <i>Blood</i> , 1998, 92:4394-4403.	
	R5	BRAASCH, D.A. and COREY, D.R. "Novel antisense and peptide nucleic acid strategies for controlling gene expression" <i>Biochemistry</i> , 2002, 41(14):4503-4510.	
	R6	BRANCH, A. "A good antisense molecule is hard to find" <i>Trends in Biochem.</i> , 1998, 23:45-50.	
	R7	CANTLEY, L.C. et al. "Oncogenes and signal transduction" Cell, 1991, 64:281-302.	
	R8	CHIRILA, T. et al. "The use of synthetic polymers for delivery of therapeutic antisense oligodeoxynucleotides" Biomaterials, 2002, 23:321-342.	
	R9	CROOKE, S.T. "Basic principles of antisense therapeutics" in Antisense Res. and Application, chapter 1, pgs 1-50, S. Crooke, Ed., Springer-Verlag, 1999.	
	R10	DESPONTS, C. et al. "MHC class I inhibitory receptors on natural killer cells recruit SHIP in an attempt to control cell survival" FASEB Journal, March 20, 2002, 16(4):A706, abstract.	
	R11	EVANS, D.J. et al. "An engineered poliovirus chimaera elicits broadly reactive HIV-1 neutralizing antibodies" Nature, 1989, 339:385-388.	
	R12	FISHER-HOCH, S.P. et al. "Protection of rhesus monkeys from fatal Lassa fever by vaccination with recombinant vaccinia virus containing the Lassa virus glycoprotein gene" PNAS, 1989, 86:317-321.	
	R13	GEWIRTZ, A.M. et al. "Facilitating oligonucleotide delivery: Helping antisense deliver on its promise" <i>Proc. Natl. Acad. Sci. USA</i> , 1996, 93:3161-3163.	

Examiner	 Date	
Signature	Considered	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance

and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional).

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STAT	EMENT BY	APF	PLICANT	First Named Inventor	Caroline Desponts	
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Sheet	4	of	6	Attorney Docket Number	USF-212XZ1T	

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article, (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	R14	GHANSAH, T. et al. "A role for the SH2-containing inositol phosphatase in the biology of natural killer cells and stem cells" Activating and Inhibitory Immunoglobulin-like Receptors, 2001, pp. 129-140.	
	R15	GHANSAH, T. et al. "Target disruption of Src homology 2-containing 5' inositol phosphatase (SHIP) alters PI3K/AKT and MAPK signal transduction pathways in murine natural killer cells" FASEB Journal, March 20, 2002, 16(4):A706, abstract.	
!	R16	GHANSAH, T. et al. "The Src homology 2 containing inositol phosphatase is vital for the function and homeostatis of Natural Killer cells" FASEB Journal, March 7, 2001, 15(4):A655, abstract.	
	R17	GUZMAN, R.J. et al. "Molecular and cellular cardiology/receptors: efficient and selective adenovirus-mediated gene transfer into vascular neointima" Circulation, 1993, 88(6):2838-2848.	
	R18	HAWKINS, P.T. et al. "Platelet-derived growth factor stimulates synthesis of PtdIns(3,4,5)P ₃ by activating a PtdIns(4,5)P ₂ 3-OH kinase" <i>Nature</i> , 1992, 358:157-910.	
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	R20	HELGASON, C.D. et al. "Targeted disruption of SHIP leads to hemopoietic perturbations, lung pathology, and a shortened life span" Genes & Dev., 1998, 12(11):1610-1620.	
	R21	HUBER, M. et al. "The src homology 2-containing inositol phosphatase (SHIP) is the gatekeeper of mast cell degranulation" <i>Proc. Natl. Acad. Sci. USA</i> , 1998, 95(19):11330-11335.	
	R22	JEFFERSON, A.B. et al. "Properties of type II inositol polyphosphate 5-phosphatase" J. Biol. Chem., 1995, 270(16):9370-9377.	
	R23	JEN, K-Y and GEWIRTZ, A.M. "Suppression of gene expression by targeted disruption of messenger RNA: Available options and current strategies" Stem Cells, 2000, 18:307-319.	
	R24	JOLLY, D. et al. "Viral vector systems for gene therapy" Cancer Gene Therapy, 1998, 1(1):51-64.	
	R25	KASS-EISLER, A. et al. "Quantitative determination of adenovirus-mediated gene delivery to rat cardiac myocytes in vitro and in vivo" PNAS, 1993, 90:11498-11502.	
	R26	KERR, WILLIAM G. et al., Critical Role for SHIP in engraftment of histo-incompatible stem cells, Oncology Research, 2001, 12:285.	

Examiner	Date
Signature	Considered

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> Complete if Known **Application Number** 10/709,801 Filing Date May 28, 2004 **First Named Inventor** Caroline Desponts **Group Art Unit** 1632 **Examiner Name**

Substitute for form 1449B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet of **Attorney Docket Number** USF-212XZ1T 6

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. 1	Include name of the author (in CAPITAL LETTERS), title of the article, (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	R27	KLIPPEL, A. et al. "Membrane localization of phosphatidylinositol 3-kinase is sufficient to activate multiple signal-transducing kinase pathways" Mol. Cell. Biol., 1996, 16(8):4117-4127.	
	R28	KOH, C. et al. "Augmentation of antitumor effects by NK cell inhibitory receptor blockade in vitro and in vivo" Blood, 2001, 97(10):3132-3137.	
	R29	KOLLS, J. et al. "Prolonged and effective blockade of tumor necrosis factor activity through adenovirus-mediated gene transfer" PNAS, 1994, 91:215-219.	
	R30	LANIER, L.L. "NK cell receptors" Annual Rev of Immunology, 1998, 16:359-393.	
	R31	LIU, L. et al. "The Src homology 2 (SH2) domain of SH2-containing inositol phosphatase (SHIP) is essential for tyrosine phosphorylation of SHIP, its association with Shc, and its induction of apoptosis" <i>J. Biol. Chem.</i> , 1997, 272:8983-8988.	
	R32	LIU, Q. et al. "SHIP is a negative regulator of growth factor receptor-mediated PKB/Akt activation any myeloid cell-survival" Genes & Dev., 1999, 13(7):786-791.	
	R33	LIU, Q. et al. "The inositol polyphosphate 5-phosphatase SHIP is a crucial negative regulator of B cell antigen receptor signaling" J. Exp. Med., 1998, 188(7):1333-1342.	
	R34	LOTZOVA, E. et al. "Prevention of Rejection of Allogeneic Bone Marrow Transplants by NK-1.1 Anti Serum" Transplantation, 1983, 35(5):490-494.	
	R35	LUCAS, D.M. and ROHRSCHNEIDER, L. "A novel spliced form of SH2-containing inositol phosphatase is expressed during myeloid development" <i>Blood</i> , 1999, 93(6):1922-1933	
	R36	OKADA, H. et al. "Cutting edge: Role of the inositol phosphatase SHIP in B cell receptor-induced Ca ²⁺ oscillatory response" <i>J. Immunol.</i> , 1998, 161:5192-5132.	
	R37	OVERBAUGH, J. et al. "Molecular cloning of a feline leukemia virus that induces fatal immunodeficiency disease in cats" Science, 1988, 239:906-910.	
	R38	PALU, G. et al. "In pursuit of new developments for gene therapy of human diseases" J. Biotech, 1999, 68:1-13.	
	R39	PIHL-CAREY, K. "Disease drug fails in phase III" BioWorld Today, 1999, 10:1-2.	

Examiner	Date
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				Filing Date	May 28, 2004
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(use as many sheets as necessary)				Group Art Unit	1632
				Examiner Name	
Sheet	6	of	6	Attorney Docket Number	USF-212XZ1T

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	R40	POZNANSKY, M. et al. "Gene transfer into human lymphocytes by a defective human immunodeficiency virus type 1 vector" J. Virol., 1991, 65:532-536.			
-	R41	RUGGERI, L. et al. "Role of natural killer cell alloreactivityin HLA-mismatched hematopoietic stem cell transplantation" <i>Blood</i> , 1999, 94(1):333-339.			
	R42	SABIN, A.B. and BOULGER, L.R. "History of Sabin attenuated poliovirus oral live vaccine strains" J. of Biol. Standardization, 1973, 1:115-118.			
	R43	SAMULSKI, R.J. et al. "Helper-free stocks of recombinant adeno-associated viruses: normal integration does not require viral gene expression" J. Vir., 1989, 63(9):3822-3828.			
	R44	STEPHENS, L.R. et al. "Agonist-stimulated synthesis of phosphatidylinositol(3,4,5)-trisphosphate: a new intracellular signaling system?" <i>Biochim. Biophys Acta</i> , 1993, 1179:27-75.			
	R45	TAMM, I. et al. "Antisense therapy in oncology: new hope for an old idea?" The Lancet, 2001, 358:489-497.			
	R46	WANG, C.Y. and HUANG, L. "pH-sensitive immunoliposomes mediate target-cell-specific delivery and controlled expression of a foreign gene in mouse" <i>PNAS</i> , 1987, 84:7851-7855.			
	R47	WANG, J-W. et al. "Influence of ZSHIP on the NK Repertoire and Allogeneic Bone Marrow Transplantation" Science, 2002, 295(5562):2094-2097.			
	R48	WOLF, I et al. "Cloning of the genomic locus of mouse SH2 containing inositol 5-phosphatase (SHIP) and a novel 110-kDa splice isoform, SHIPō" Genomics, 2000, 69(1):104-112.			
	R49	YOKOYAMA, W.M. "Natural killer cell receptors" Current Opin in Immunology, 1998, 10(3):298-305.			
	R50				
	R51		1		
	R52				

Examiner	Date
Signature	Considered

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